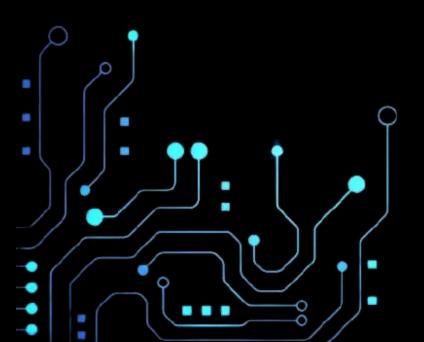
### ML Poisoning Attacks



ShieldFL: Mitigating Model Poisoning Attacks in Privacy Preserving Federated Learning





**01** ML Security

02 PPFL

03 Poisoning Attacks

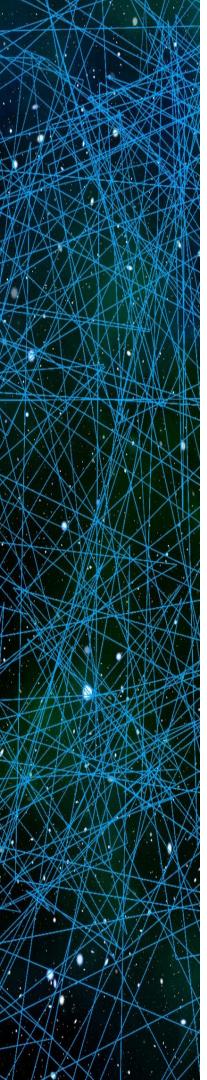
04 ShieldFL

**05** Questions

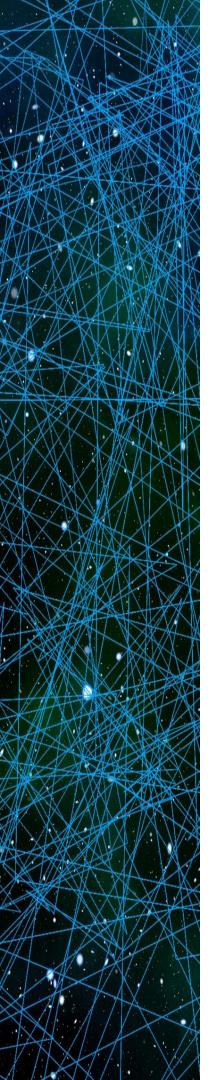
### 01 ML Security







- The widespread adoption of ML has exposed a new type of security vulnerability
- ML can inherently facilitate and enhance the attack
  - Black-box = difficult to identify and localize attacks
  - Metric-driven development = security is not a priority
  - Reliant on trusted components and 3rd parties
- Distributed learning paradigms are pushing models to the Cloud and the Edge (on-device)



#### **Attack Phase**

Training vs Inference/Testing

#### **Attack Surface**

- Training/testing inputs (data, targets)
- Model (architecture, parameters, weights)
- Model outputs (labels, predictions)
- Pipeline/infrastructure

#### **Adversarial Goal**

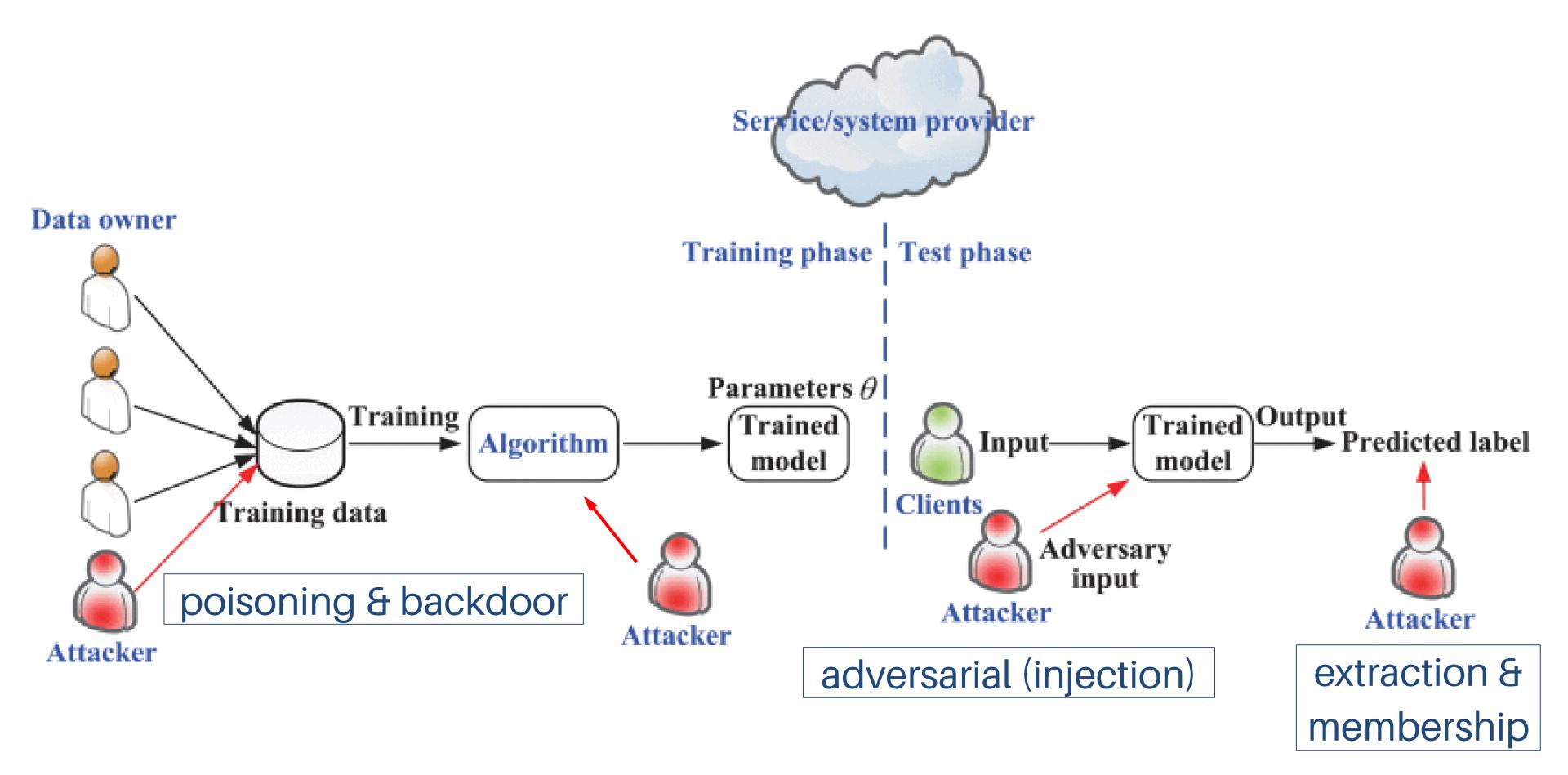
Confidentiality = extract or leak information

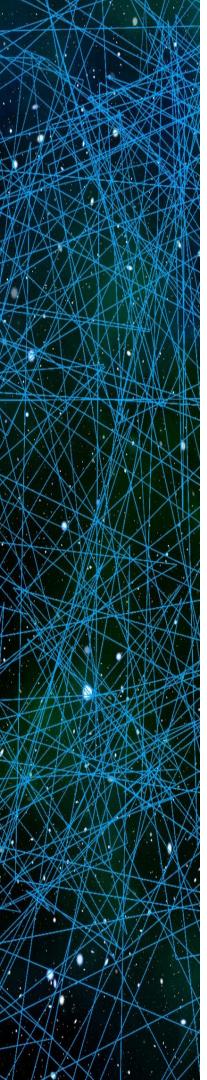
"Privacy"

Integrity = induce certain behavior

Availability = disrupt pipeline or model

"Security"





### **Security Defense**

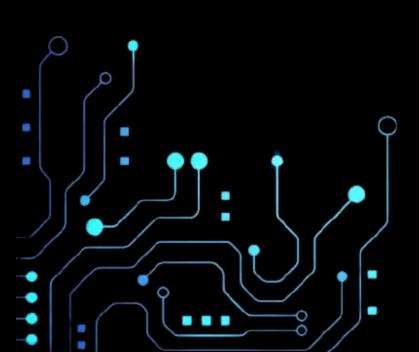
- Detect abnormal inputs during preprocessing
- Develop models which are <u>certifiably robust</u> against adversarial inputs

### **Privacy Defense**

- Differential Privacy (DP)
- Trusted Execution Environments (TEEs)
- Homomorphic Encryption (HE)
- Federated Learning (FL)
- Privacy Preserving Federated Learning (PPFL)

# Privacy Preserving Federated Learning

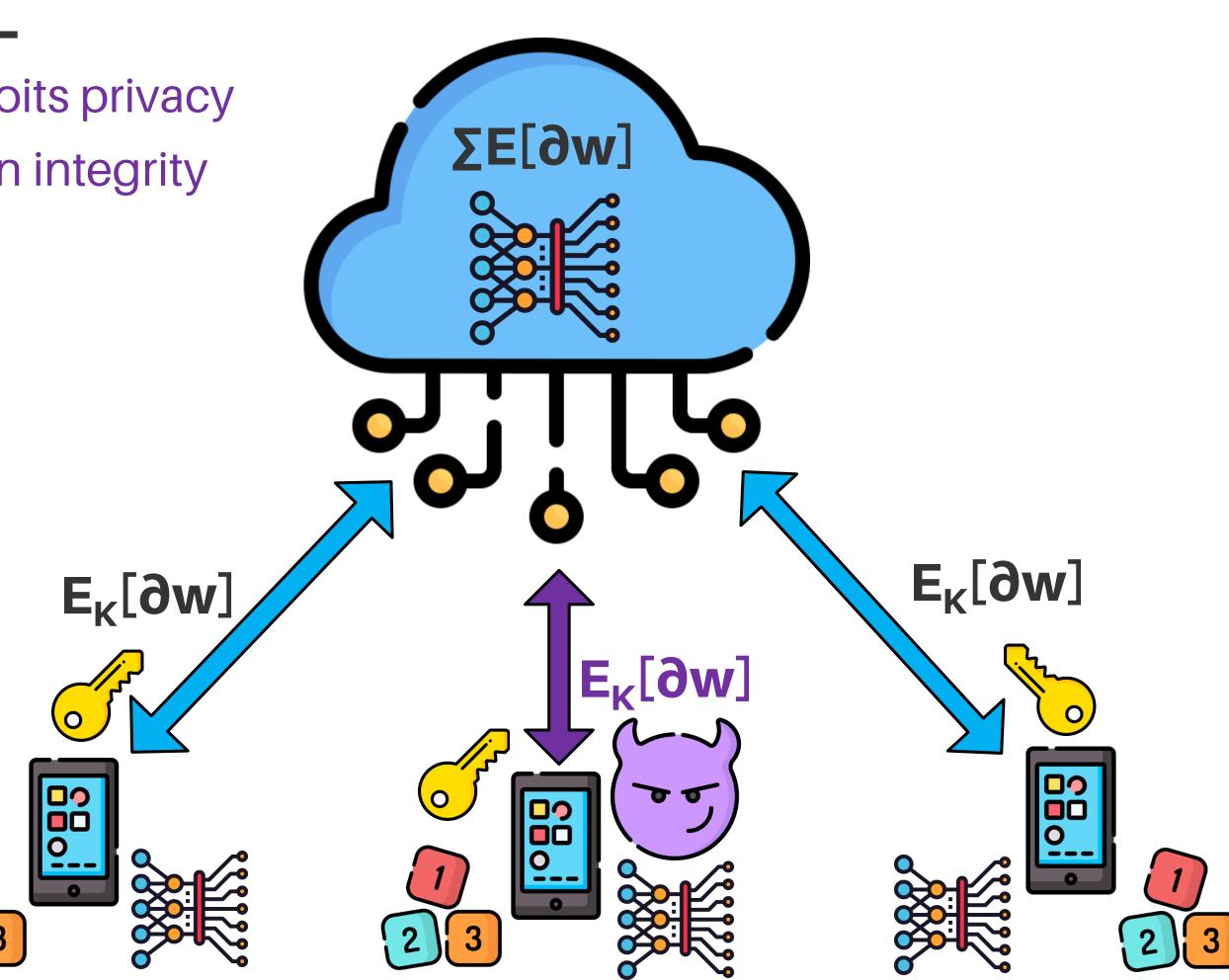




# **MLaaS** Data payload to API endpoint Centralized, online model training

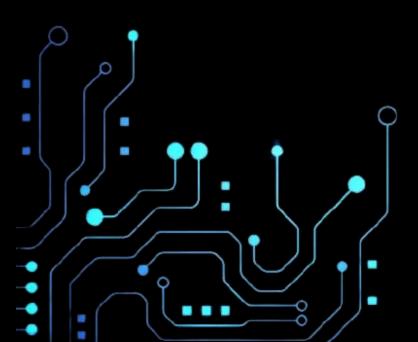
### **Privacy Preserving Federated Learning** $\Sigma E[9m]$ Assumes IID data Local on-device training Homomorphic encryption + secure aggregation $E^{K}[9m]$ $E^{K}[9m]$ $E^{K}[9m]$

## **Poisoning PPFL** Adversary exploits privacy protection as an integrity vulnerability 00



### 03 Poisoning Attacks



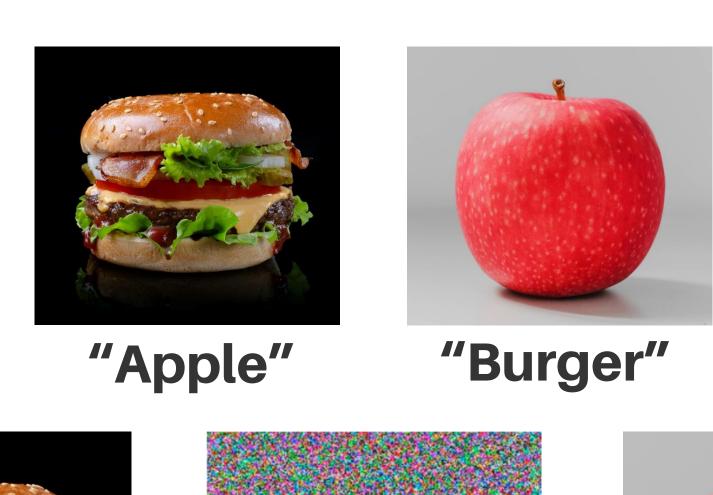


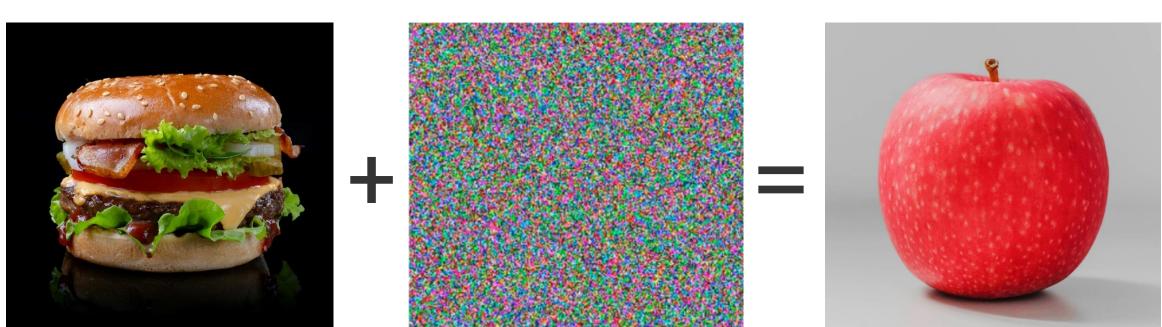


Attack Phase: Training

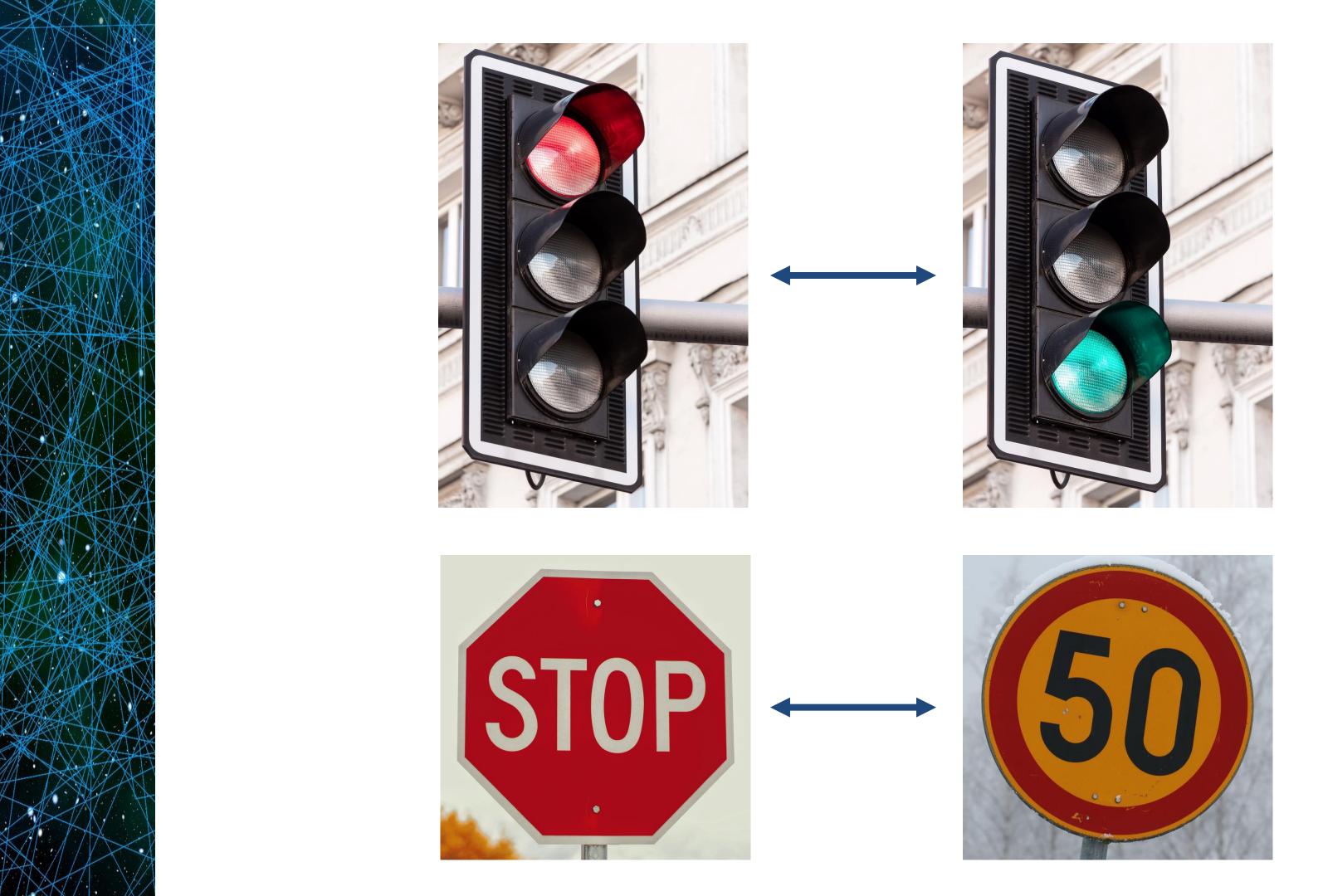
Attack Surface: Training data or model inputs

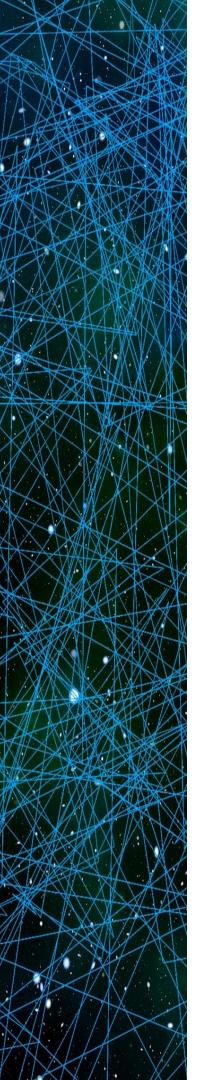
Adversarial Goal: Induce misclassification (security attack)





95%
"Apple"





### Get a Model! Model Hijacking Attack Against Machine Learning Models

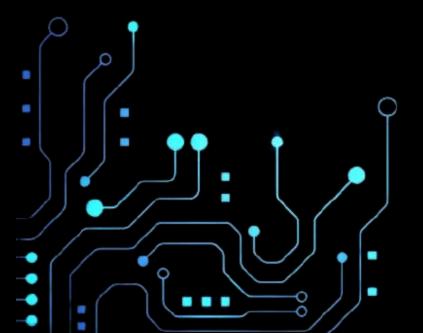


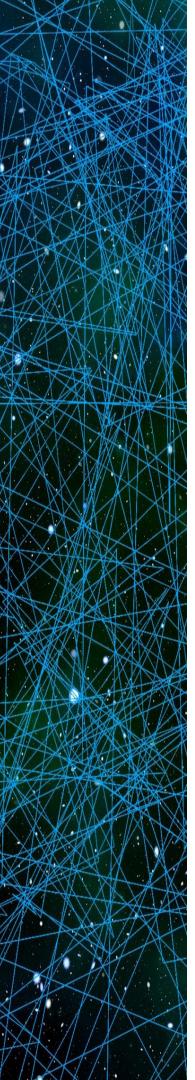
Ahmed Salem Michael Backes Yang Zhang CISPA Helmholtz Center for Information Security

- Poisoning + federated learning enables an adversary to "hijack" a public model for their own secondary purpose.
- Original model functions as intended but provides secret functionality for the attacker.
- Model owner is unaware but assumes all legal responsibility and associated costs of hosting the hijacked model.

### 04 ShieldFL







#### The ShieldFL Game

- 1. Servers:  $S_1$ ,  $S_2$  = honest-but-curious and non-colluding
- 2. Key Centre: KC = fully trusted
- 3. Benign Users: {U}
- 4. Adversary: A → Malicious Users: {U\*}

#### **Adversarial Goals**

- 1. Maximise effect of poisonous weights
- 2. Corrupt the accuracy of the global model

#### **ShieldFL Defense Goals**

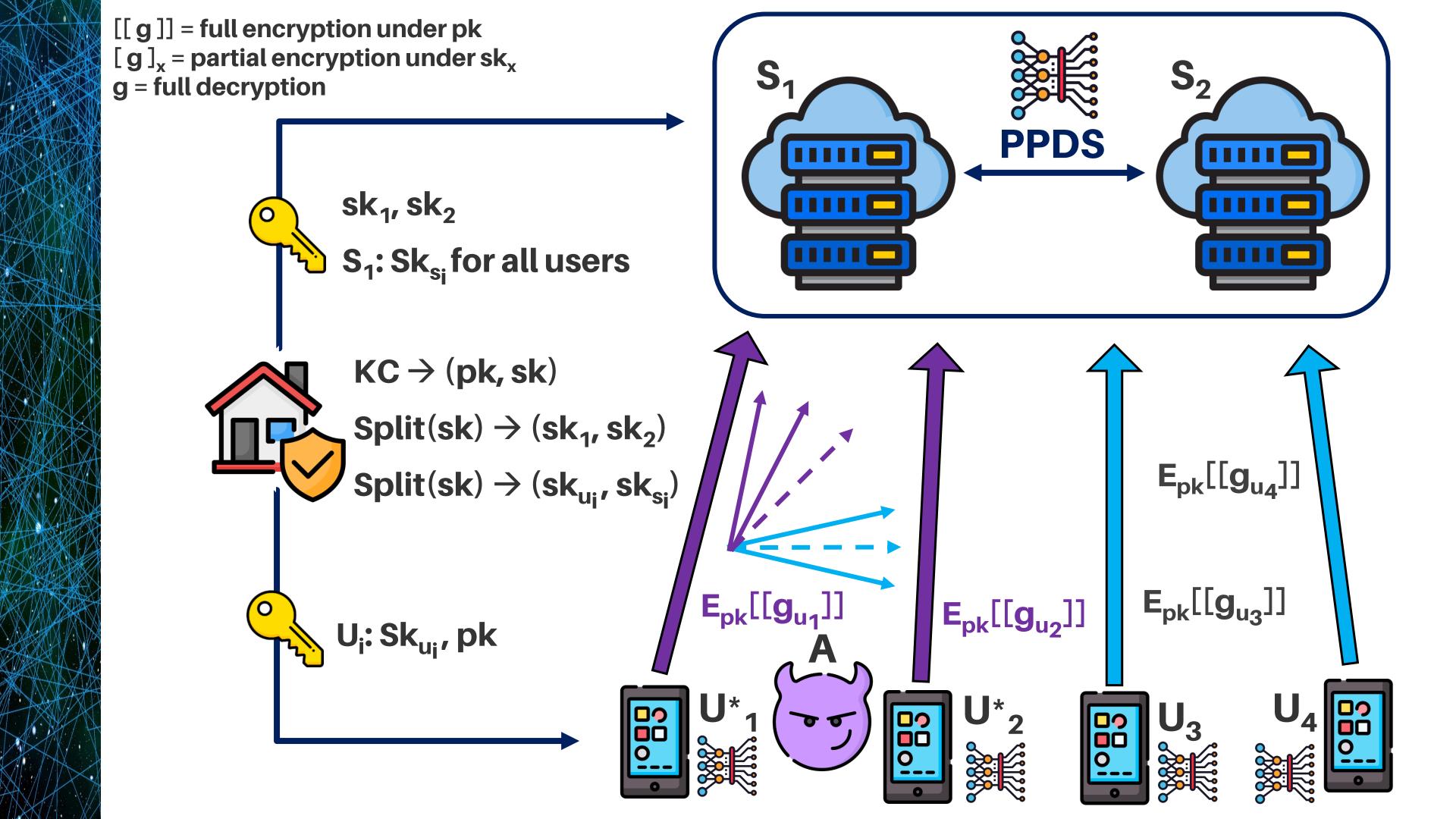
- 1. Security: resist encrypted model poisoning
- 2. Privacy: guarantee confidentiality of data and secret key

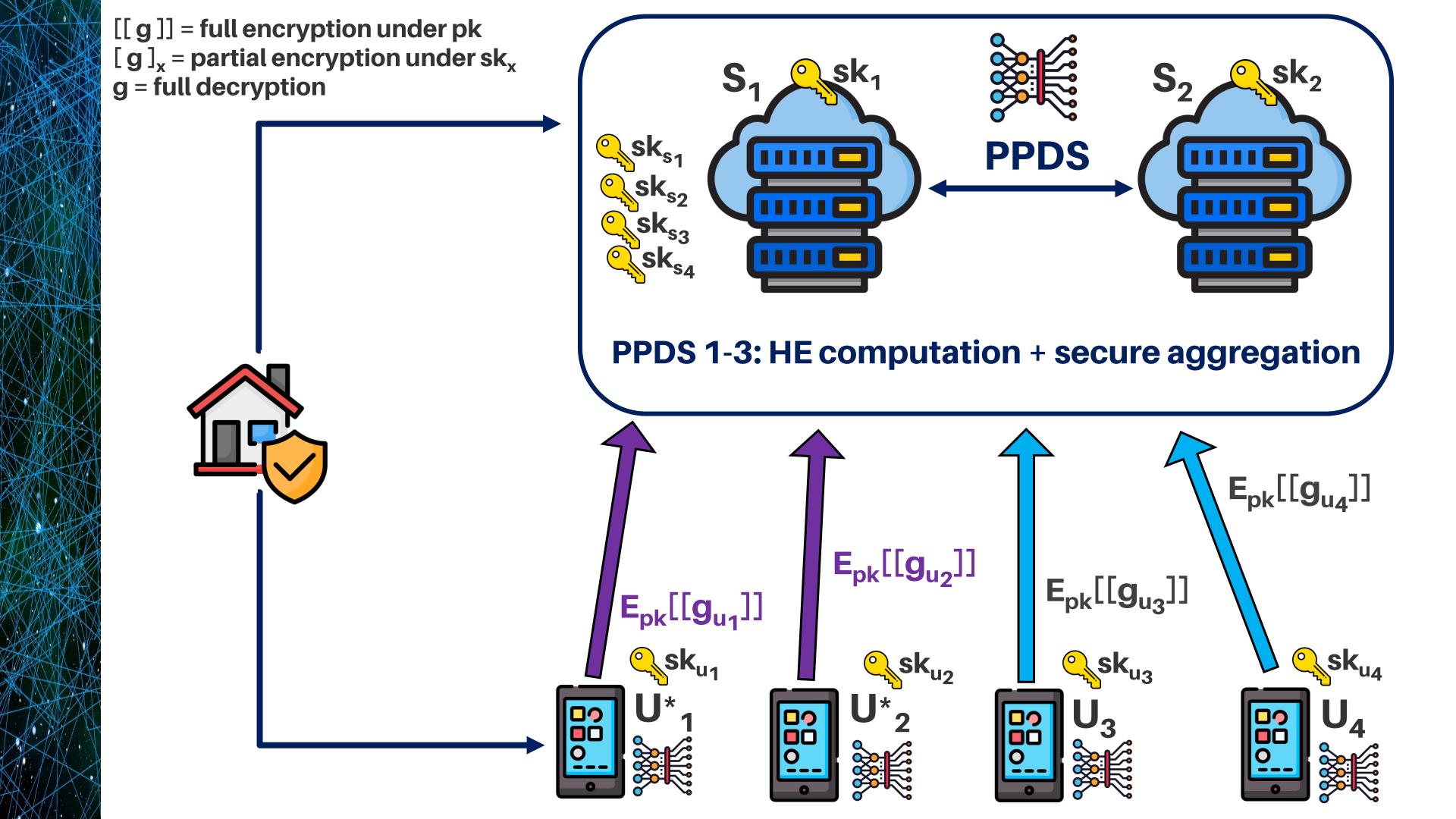
### **Privacy-Preserving Defense Strategy (PPDS)**

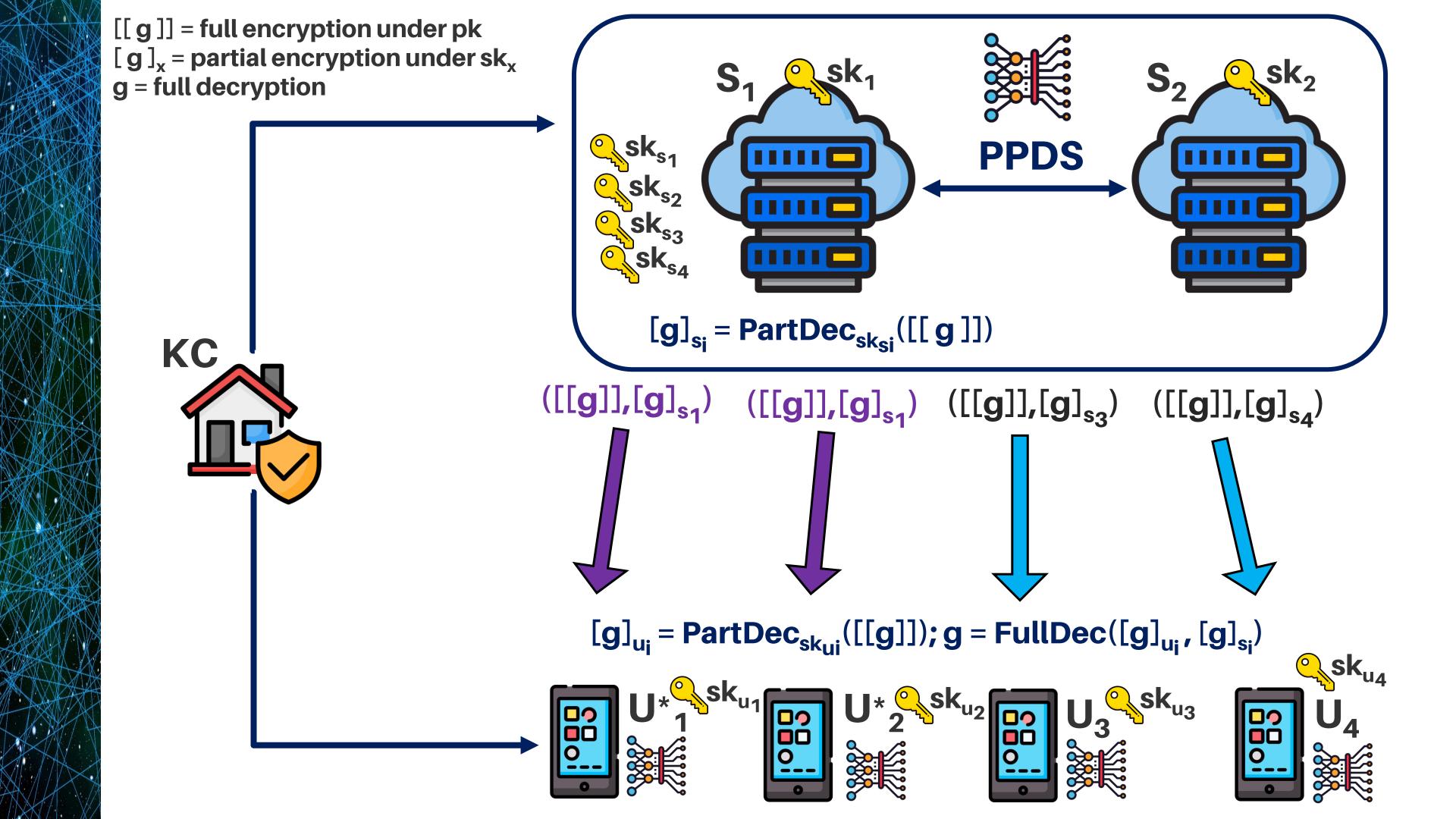
- 1. Normalization judgement
- 2. Secure cosine similarity
- 3. Byzantine-tolerance aggregation
- 4. Weight update using Two-Trapdoor HE

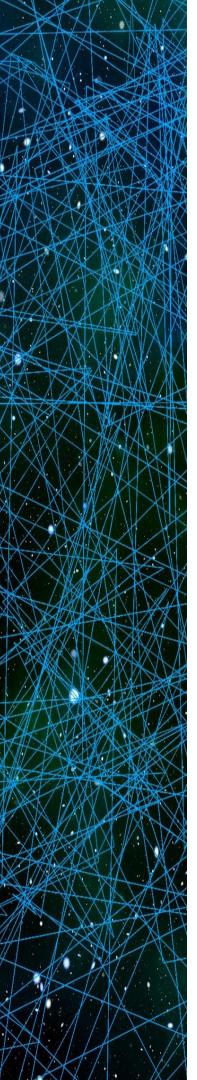
### **Two-Trapdoor HE**

- 1. Generate public and secret keys → (pk, sk)
- 2. Encrypt plaintext under pk:  $m \rightarrow [[c]]$
- 3. Split secret key into shares:  $sk \rightarrow (sk_i, sk_i)$
- 4. Partially decrypt ciphertext under  $sk_i$ ,  $sk_j$ : [[ c ]]  $\rightarrow$  [ c ]<sub>i,</sub> [ c ]<sub>j</sub>
- 5. Full decryption:  $([c]_i, [c]_i) \rightarrow m$







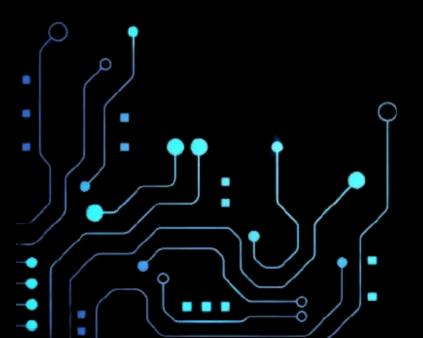


#### **ShieldFL Results**

- Secret key shares are computationally indistinguishable
- Leakage of any secret key share does not compromise sk
- sum,cos cannot leak information without knowing inputs and intermediate computations
- The IND-CPA security of two-trapdoor HE + non-colluding servers → computationally indistinguishable between output of ideal world viewed by PPT A\* and real world viewed by adversary A
- Guarantees both security and privacy against encrypted poisoning in PPFL

### 05 Questions







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- [8] Xue M., Yuan C., Wu H., Zhang Y. & Liu W., "Machine Learning Security: Threats, Countermeasures, and Evaluations" in *IEEE Access*, vol. 8, pp. 74720-74742, 2020, doi: 10.1109/ACCESS.2020.2987435
- [9] Flaticons by Becris (deep-learning), Freepik (numbers, cloud service, database, devil), Smashicon (key), Phatplus (server), Nawicon (insurance)



### Truth Serum: Poisoning Machine Learning Models to Reveal Their Secrets

Florian Tramèr\*†
ETH Zürich

Reza Shokri National University of Singapore Ayrton San Joaquin Yale-NUS College

Hoang Le Oregon State University

Matthew Jagielski Google Sanghyun Hong Oregon State University Nicholas Carlini Google

 Poisoning <0.1% of training data can increase privacy leakage and membership inference by 1-2 orders of magnitude

### **Two-Trapdoor HE**

- KeyGen( $\varepsilon$ )  $\rightarrow$  (pk, sk): Given the security parameter  $\varepsilon$ , distinct odd primes p, q are generated, where  $|p| = |q| = \varepsilon$ , N = pq. The public key pk = (N, (1+N)) and secret key  $sk = \lambda = lcm(p-1, q-1)$  are yielded.
- $\mathsf{Enc}_{pk}(x) \to [\![x]\!]$ : Given a plaintext  $x \in \mathbb{Z}_N$ , it is encrypted with pk such that

$$[\![x]\!] = (1+N)^x \cdot r^N \mod N^2, \quad r \in \mathbb{Z}_N^*.$$
 (1)

• KeySplit(sk)  $\rightarrow$  ( $sk_1, sk_2$ ): The secret key  $sk = \lambda$  is randomly divided into two secret key shares  $sk_1$  and  $sk_2$  satisfying

$$\sum_{i=1}^{2} sk_i \equiv 0 \mod \lambda, \quad \sum_{i=1}^{2} sk_i \equiv 1 \mod N. \tag{2}$$

• PartDec<sub> $sk_i$ </sub>([[x]])  $\rightarrow [x]_i$ : Given an encrypted data [[x]] and a secret key share  $sk_i$ , it yields the corresponding decryption share  $[x]_i$  with  $sk_i$  such that

$$[x]_i = \llbracket x \rrbracket^{sk_i} \mod N^2. \tag{3}$$

• FullDec( $[x]_1, [x]_2$ )  $\rightarrow x$ : Given the tuple of decryption shares ( $[x]_1, [x]_2$ ), the plaintext x is decrypted as

$$x = \frac{(\prod_{i=1}^{2} [x]_i \mod N^2) - 1}{N} \mod N. \tag{4}$$

To decrypt an encrypted number, both the PartDec and FullDec algorithms must be used.

### **Federated Learning** Decentralized and **Σ9**w distributed model training Assumes IID data **9**w **W**6 **9**w